

Customer No. 22,852  
Attorney Docket No. 04329.3173

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Takashi KOKUBO et al. )  
Application No.: Not Yet Assigned ) Group Art Unit: Not assigned  
Filed: November 5, 2003 ) ) Examiner: Not assigned  
For: COMMUNICATION DEVICE )  
AND COMMUNCATION )  
METHOD )  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. A copy of the listed document is attached. Applicants respectfully request that the Examiner consider the document listed on attached Form PTO-1449 and indicate that it was considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies any of the document as prior art against any claim in the application and applicants determine that the cited document does not constitute "prior art" under United States law, applicants reserve the

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right to present to the office the relevant facts and law regarding the appropriate status of such document. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

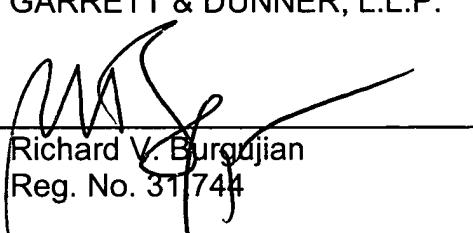
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 5, 2003

By: \_\_\_\_\_

  
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Enclosures  
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## INFORMATION DISCLOSURE CITATION

|                  |                       |                 |
|------------------|-----------------------|-----------------|
| Atty. Docket No. | 04329.3173            | Application No. |
| Applicant        | Takashi KOKUBO et al. |                 |
| Filing Date      | November 5, 2003      | Group:          |

## U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|------|-------|-----------|----------------------------|
|                   |                 |            |      |       |           |                            |
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|                   |                 |            |      |       |           |                            |

## FOREIGN PATENT DOCUMENTS

|  | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|--|-----------------|------------------|---------|-------|-----------|-----------------------|
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |                                                                                                                                          |
|--|------------------------------------------------------------------------------------------------------------------------------------------|
|  | "Digital Transmission Content Protection Specification", Vol. 1 (Informational Version), Revision 1.2a, pp. 1, 34-45, February 25, 2002. |
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| Examiner                                                                                                                                                                                                                                         | Date Considered                                           |
| <p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |                                                           |
| Form PTO 1449                                                                                                                                                                                                                                    | Patent and Trademark Office - U.S. Department of Commerce |